

# On the Capacity of Bounded Rank Modulation for Flash Memories

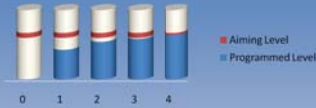
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## Background

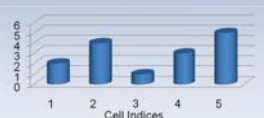
### Flash Memory

- ✓ Non-volatile storage using floating gate
- ✓ Block erasure & iterative writing



### Rank Modulation

- ✓ Writing speed
- ✓ Data reliability



- ✓ Permutation size,  $m(=5)$ ;
- ✓ Maximum cell level,  $D(=6)$ ,  $D \geq m$ ;
- ✓ Overlap,  $v(=0)$ ,  $0 \leq v \leq m-1$ ;
- ✓ Capacity,  $cap(=\log 5!/5)$

## Bounded Rank Modulation (BRM)

### An example with 8 cells ( $m=4$ )

$v=0$ ,  $cap = \log(4!^2)/8 = 1.146$

1	2	3	4	5	6	7	8
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$v=2$ ,  $cap = \log(4! \times (4 \times 3)^2)/8 = 1.469$

1	2	3	4	5	6	7	8
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$v=3$ ,  $cap = \log(4! \times 4^4)/8 = 1.573$

1	2	3	4	5	6	7	8
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### BRM code:

- ✓ Bounded permutation size,  $m$
- ✓ Bounded maximum cell level,  $D$

## BRM Code with One Overlap I (Consecutive Levels)

- Method: Labeled graph
- ✓ State: level of the current cell
- ✓ Edge: possible cell-level transition
- ✓ Labeling: induced permutation
- ✓ Each block forms a set of  $m$  consecutive numbers

•  $m=2$ ,  $D=4$ ,  $v=1$  (1="12", 0="21")



### Adjacency matrix

$$A = \begin{bmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 1 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{bmatrix}$$

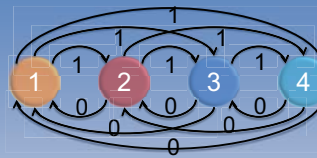
✓ Capacity:  $cap = \frac{\log \lambda(A)}{m-v} = .6942$   
where  $\lambda(A)$  is the largest positive eigenvalue of  $A$

• Theorem: for  $m \geq 2$  and  $D \geq m+2$ ,  $cap(m, D, v=1) > cap(m, D, v=0)$

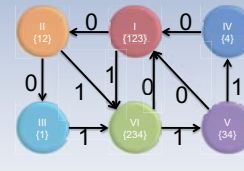
## BRM Code with One Overlap II

- Each block is an arbitrary set of  $m$  distinct numbers

•  $m=2$ ,  $D=4$ ,  $v=1$



### Deterministic representation

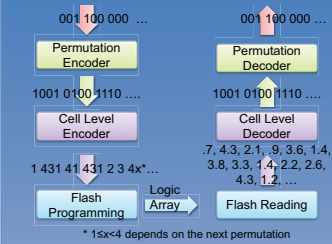


$$A = \begin{bmatrix} 0 & 1 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 & 1 & 0 \end{bmatrix}$$

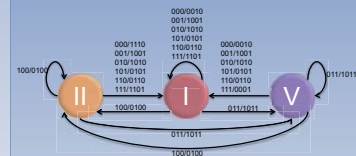
$cap = 0.8791 > 0.6942$

## Encoder and Decoder

- Diagram: Encoder/decoder ( $m=2$ ,  $D=4$ ,  $v=1$ )



- ✓ 3-state permutation encoder (rate=3:4). Labeling: information/ permutation



- ✓ State-independent permutation decoder

First Two Permutation Bits	Permutation Sequence	Information Sequence
Equal	0010	000
	1110	000
	0001	111
	1101	111
Not equal	1011	011
	0100	100
	0101	101
	0110	110

- ✓ Cell level encoder

$$c_i = \begin{cases} 1, & \text{if } P_i = 1 \\ 4, & \text{if } P_i = 0 \end{cases}$$

$$c_{i+1} = \begin{cases} c_i + 1 & \text{if } P_{i-1} = 1 \text{ and } P_i = 1 \\ 1 & \text{if } P_{i-1} = 0 \text{ and } P_i = 1 \\ 4 & \text{if } P_{i-1} = 1 \text{ and } P_i = 0 \\ c_i - 1 & \text{if } P_{i-1} = 0 \text{ and } P_i = 0 \end{cases}$$

- ✓ Cell level decoder: 2-way comparator

- ✓ Flash Programming: finding the maximum decreasing runs and writing from the lowest level

- ✓ Flash Reading: sequentially reading off charge levels

## Concluding Remarks

### Optimal overlap in terms of highest capacity for fixed $D$ and $m$

- ✓ When  $D=m$ ,  $v^*=0$  optimizes capacity
- ✓ When  $D=\infty$ ,  $v^*=m-1$  optimizes capacity
- ✓ When  $D \geq m+2$ , optimal  $v^* \geq 1$

### Open problems

- ✓ Exact optimal overlap for  $m < D < \infty$
- ✓ Efficient encoder/decoder for arbitrary BRM codes
- ✓ Generalized BRM code: other forms of overlap